

Code	TVB
Company name	Tri Viet Securities Joint Stock Company
Date	09/24/2021
Subject	BOD resolution dated September 23, 2021

Content:

The Board resolution dated September 23, 2021, the Board of Directors of Tri Viet Securities Joint Stock Company approved the following issues:

1. Approving the adjustment to the purpose of the record date to implement rights to attend the Extraordinary General Meeting 2021 for the first time:
 - Before adjusting: to implement rights to attend the Extraordinary General Meeting 2021 for the first time to approve the contents of General Meeting of Shareholders.
 - After adjusting: to attend the Extraordinary General Meeting 2021 for the first time or to collect shareholders' opinions in writing to approve the contents of General Meeting of Shareholders.
 - Expected meeting date: November 04, 2021
 - Deadline to receive ballots: October 28, 2021.
2. Approving the contents of Proposal to submit to the General Meeting of Shareholders in form of convening the Extraordinary General Meeting or collecting shareholders' opinions in writing:
 - Proposal on adjusting the business plan in 2021;
 - Proposal on adjusting the foreign investors ownership ratio limit to 30%;
 - Proposal on approving to raise the ownership ratio beyond 25%, 35%, 45%, 55%, 65% applied to major shareholders Tung Tri Viet Company Limited without having to make a public tender offer;
 - Proposal on establishing the Audit Committee under the General Meeting of Shareholders and appointing Mr. Le Thanh Long as Head of Audit Committee;
 - Proposal on approving the strategic foreign investors ownership ratio limit to 30% and the price issuance is not less than VND25,000/share;
 - Proposal on amending its charter capital;
 - Other issues.